

# Theory of oriented matroids and convexity

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A **signed set**  $X$  is a set  $\underline{X}$  partitioned in two parts  $(X^+, X^-)$ , where  $X^+$  is the set of **positive elements** of  $X$  and  $X^-$  is the set of **negatives elements**.

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We say that  $X$  is a **restriction** of  $Y$  if and only if  $X^+ \subseteq Y^+$  and  $X^- \subseteq Y^-$ . If  $A$  is a not signed set and  $X$  a signed set then  $X \cap A$  designe the signed set  $Y$  with  $Y^+ = X^+ \cap A$  et  $Y^- = X^- \cap A$ .

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Generally, given a signed set  $X$  and a set  $A$  we denote by  $-_A X$  the signed set defined by  $(-_A X)^+ = (X^+ \setminus A) \cup (X^- \cap A)$  and  $(-_A X)^- = (X^- \setminus A) \cup (X^+ \cap A)$ . We say that the signed set  $-_A X$  is obtained by an **reorientation** of  $A$ .

A collection  $\mathcal{C}$  of signed sets of a finite set  $E$  is the set of **circuits** of a **oriented matroid** on  $E$  if and only if the following axioms are verified :

$$(C0) \emptyset \notin \mathcal{C},$$

$$(C1) \mathcal{C} = -\mathcal{C},$$

(C2) for any  $X, Y \in \mathcal{C}$ , if  $\underline{X} \subseteq \underline{Y}$ , then  $X = Y$  or  $X = -Y$ ,

(C3) for any  $X, Y \in \mathcal{C}$ ,  $X \neq -Y$ , and  $e \in X^+ \cap Y^-$ , there exists  $Z \in \mathcal{C}$  such that  $Z^+ \subseteq (X^+ \cup Y^+) \setminus \{e\}$  and  $Z^- \subseteq (X^- \cup Y^-) \setminus \{e\}$ .

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(c) Let  $M$  be an oriented matroid  $E$  and  $\mathcal{C}$  the collection of circuits. We clearly have that  ${}_{-A}\mathcal{C}$  is the set of circuits of an oriented matroid, denoted by  ${}_{-A}M$  and obtained from  $M$  by a **reorientation of  $A$** .

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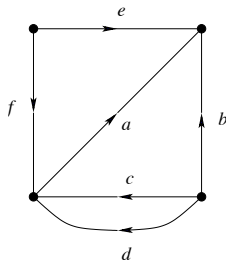
(b) All the objects of a matroid  $\underline{M}$  are also considered as the objects of the oriented matroid  $M$ , in particular the rank of  $M$  is the same as the rank of  $\underline{M}$ .

(c) Let  $M$  be an oriented matroid  $E$  and  $\mathcal{C}$  the collection of circuits. We clearly have that  $-_A\mathcal{C}$  is the set of circuits of an oriented matroid, denoted by  $-_AM$  and obtained from  $M$  by a **reorientation of  $A$** .

**Notation.** We may write  $X = \overline{abcde}$  the signed circuit  $X$  defined by  $X^+ = \{a, d, e\}$  and  $X^- = \{b, c\}$ .

## Oriented graph

Let  $G$  be an oriented graph. We obtain the signed circuits from the cycles of  $G$ .



Then,

$$\mathcal{C} = \{(abc), (abd), (aef), (cd), (bcef), (bdef), (\bar{a}b\bar{c}), (\bar{a}b\bar{d}), (\bar{a}e\bar{f}), (\bar{c}d), (\bar{b}c\bar{e}\bar{f}), (\bar{b}d\bar{e}\bar{f})\}.$$

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We obtain an oriented matroid on  $E$  by considering the signed sets  $X = (X^+, X^-)$  where

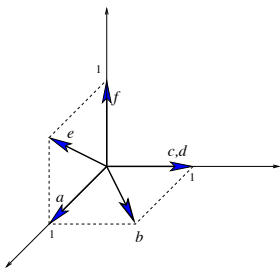
$$X^+ = \{i : \lambda_i > 0\} \text{ et } X^- = \{i : \lambda_i < 0\}$$

for all minimal dependencies among the  $\mathbf{v}_i$ .

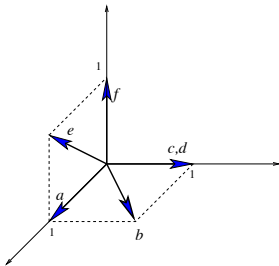
Let

$$A = \begin{pmatrix} a & b & c & d & e & f \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

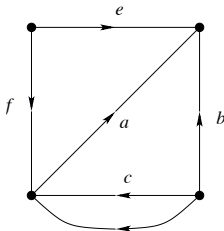
The columns of  $A$  correspond to the following vectors



We can check that the circuits of



are the same as those arising from





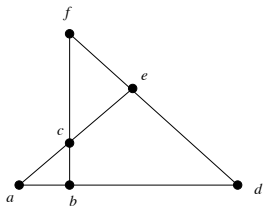
## Configurations of points

Any configuration of points induce an oriented matroid in the affine space where the signed set of circuits are the coefficients of minimal **affine** dependencies of the form

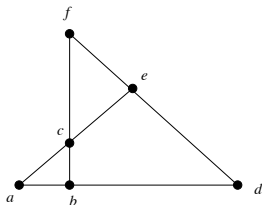
$$\sum_i \lambda_i \mathbf{v}_i = 0 \quad \text{with} \quad \sum_i \lambda_i = 0, \quad \lambda_i \in \mathbb{R}$$

$$\bar{A} = \begin{pmatrix} & a & b & c & d & e & f \\ -1 & 0 & 0 & 3 & 1 & 0 \\ 0 & 0 & 1 & 0 & 2 & 3 \end{pmatrix}$$

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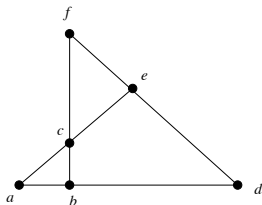


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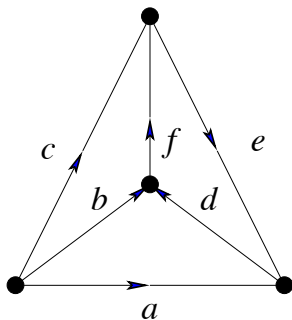
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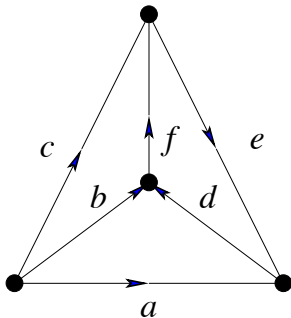
$$\mathcal{C} = \{(abd), (bcf), (def), (ace), (\bar{a}b\bar{e}f), (\bar{b}cd\bar{e}), (a\bar{c}df), (\bar{a}\bar{b}\bar{d}), (\bar{b}\bar{c}\bar{f}), (\bar{d}\bar{e}\bar{f}), (\bar{a}\bar{c}\bar{e}), (\bar{a}\bar{b}\bar{e}\bar{f}), (\bar{b}\bar{c}\bar{d}\bar{e}), (\bar{a}\bar{c}\bar{d}\bar{f})\}.$$

For instance, circuit  $(abd)$  correspond to the affine dependency  $3(-1, 0)^t - 4(0, 0)^t + 1(3, 0)^t = (0, 0)^t$  with  $3 - 4 + 1 = 0$ .

The obtained oriented matroid is the one arising from

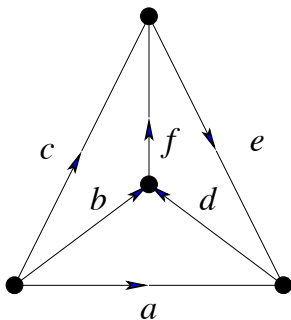


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For exemple, from circuit  $(\bar{a}bd)$  we see that point  $b$  is in the segment  $[a, b]$  and from circuit  $(\bar{a}b\bar{e}f)$  the segment  $[a, e]$  intersect the segment  $[b, f]$



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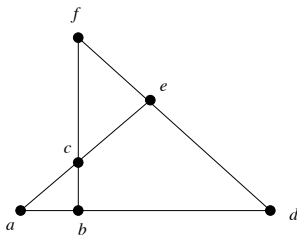
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•  $-_dM(\bar{A})$  is graphic. Moreover, it correspond to the oriented matroid



under the permutation

$$\sigma(a) = b, \sigma(b) = a, \sigma(c) = c, \sigma(d) = d, \sigma(e) = f, \sigma(f) = e.$$

## Bases and Chirotope

$\mathcal{B}$  is the set of **bases** of an oriented matroid if and only if there is an application, called **chirotope**,  $\chi : E^r \rightarrow \{+, -, 0\}$  such that.

- (i)  $\mathcal{B} \neq \emptyset$ ;
- (ii) for any  $B$  and  $B'$  in  $\mathcal{B}$  and  $e \in B \setminus B'$  there exists  $f \in B' \setminus B$  such that  $B \setminus e \cup f \in \mathcal{B}$ ;
- (iii)  $\{b_1, \dots, b_r\} \in \mathcal{B}$  if and only if  $\chi(b_1, \dots, b_r) \neq 0$ ;

(iv)  $\chi$  is alternating, i.e.  $\chi(b_{\sigma(1)}, \dots, b_{\sigma(r)}) = \text{sign}(\sigma)\chi(b_1, \dots, b_r)$   
for any  $b_1, \dots, b_r \in E$  and any permutation  $\sigma$ ;

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(v) (Three-terms Grassmann-Plücker relation ) for any  $b_1, \dots, b_r, x, y \in E$ , if  $\chi(x, b_2, \dots, b_r)\chi(b_1, y, b_3, \dots, b_r) \geq 0$  and  $\chi(y, b_2, \dots, b_r)\chi(x, b_1, b_3, \dots, b_r) \geq 0$  then  $\chi(b_1, b_2, \dots, b_r)\chi(x, y, b_3, \dots, b_r) \geq 0$ .

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**Remark.** In the realizable case, axiom (v) is directly verified with the Grassmann-Plücker's relation, it is thus a combinatorial reformulation :

$$\det(b_1, \dots, b_r) \cdot \det(b'_1, \dots, b'_r) = \sum_{1 \leq i \leq r} \det(b'_i, b_2, \dots, b_r) \cdot \det(b'_1, \dots, b'_{i-1}, b_1, b'_{i+1}, \dots, b'_r).$$



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$$\chi(y, b_2, \dots, b_r) = -C(e)C(f)\chi(x, b_2, \dots, b_r)$$

where  $\{x, b_2, \dots, b_r\}$  and  $\{y, b_2, \dots, b_r\}$  are two bases with  $x \neq y$  and  $C(a)$  denote the sign of  $a$  in  $C$ , (one of the two opposite circuits contained in  $\{x, y, b_2, \dots, b_r\}$ ).

## Arrangement of pseudospheres

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We have two connected components in  $S^{d-1} \setminus S$ , each homeomorphe to the  $d_1$  dimensional ball (called **sides** of  $S$ ).

A finite collection  $\{S_1, \dots, S_n\}$  of pseudo-spheres in  $S^{d-1}$  is an arrangement of pseudo-spheres if

(PS1) for all  $A \subseteq E = \{1, \dots, n\}$  the set  $S_A = \bigcap_{e \in A} S_e$  is a (topological) sphere

(PS2) If  $S_A \not\subseteq S_e$  for  $A \subseteq E, e \in E$  and  $S_e^+, S_e^-$  denotes the two sides of  $S_e$  then  $S_A \cap S_e$  is a pseudo-sphere of  $S_A$  having as sides  $S_A \cap S_e^+$  and  $S_A \cap S_e^-$ .

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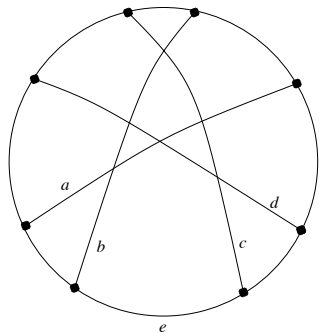
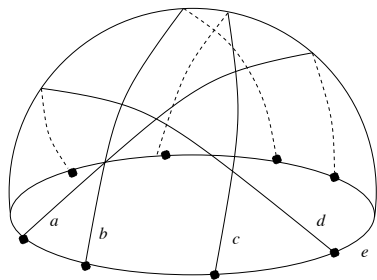
The arrangement is said to be **essential** if  $S_E = \emptyset$ .

We say that the arrangement is **signed** if for each pseudosphere  $S_e, e \in E$  it is chosen a **positive** and a **negative** side.

# Topological representation

Topological Representation (Folkman+Lawrence) Any loop-free oriented matroid of rank  $d + 1$  (up to isomorphism) are in one-to-one correspondence with arrangements of pseudo-spheres in  $S^d$  (up to topological equivalence).

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An arrangement of pseudolines is simple if three or more pseudolines do not intersect in the same point.

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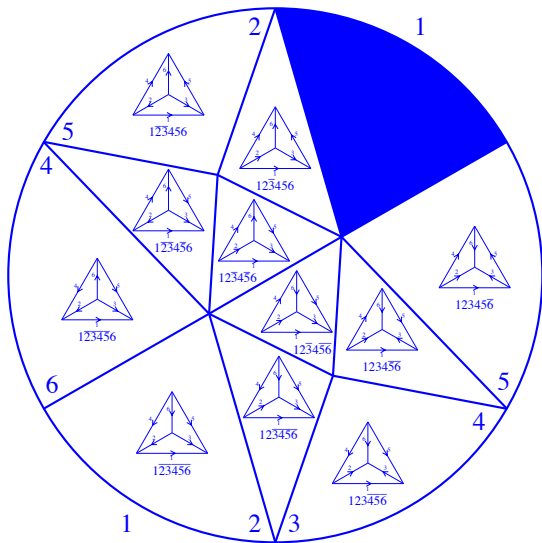
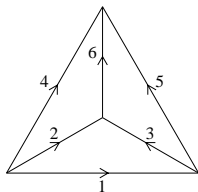
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**Theorem** The set of acyclic orientations of  $M$  are in bijection with the set of **cells** of the corresponding arrangement of pseudospheres.





**Theorem** Let  $A_M$  be the arrangement of  $H = \{h_1, \dots, h_n\}$  pseudo-sphere corresponding to the oriented matroid  $M$  on  $n$  elements. Then, a cell of  $A_M$  that is bounded by  $\{h_{i_1}, \dots, h_{i_k}\}$  correspond to an acyclic reorientation of  $M$  having  $[n] \setminus \{i_1, \dots, i_k\}$  as interior points.

## McMullen problem

A **projective transformation**  $P : \mathbb{R}^d \rightarrow \mathbb{R}^d$  is such that  $p(x) = \frac{Ax+b}{\langle c,x \rangle + \delta}$  where  $A$  is a linear transformation of  $\mathbb{R}^d$ ,  $b, c \in \mathbb{R}^d$  and  $\delta \in \mathbb{R}$  such that at least one of  $c \neq 0$  or  $\delta \neq 0$ .

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**Problem 1** Determine the largest integer  $f(d)$  such that given any  $n$  points in general position in  $\mathbb{R}^d$  there is a permissible projective transformation mapping these points onto the vertices of a convex polytope

## Gale transforms

Given  $\mathbf{a} = (a_1, \dots, a_n)$  points in  $\mathbb{R}^d$ , we first convert the  $a_i$  into  $\bar{a}_i = (a_i, 1) \in \mathbb{R}^{d+1}$ . We suppose that  $\bar{a}_i$  are  $d + 1$  affinely independent.

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Let  $V$  be the vector space generated by the rows of  $(d + 1 \times n)$  matrix  $A$  having  $\bar{a}_i$  as  $i$ th column.  $V$  is a  $(d + 1)$ -dimensional subspace of  $\mathbb{R}^n$ .

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Given  $\mathbf{a} = (a_1, \dots, a_n)$  points in  $\mathbb{R}^d$ , we first convert the  $a_i$  into  $\bar{a}_i = (a_i, 1) \in \mathbb{R}^{d+1}$ . We suppose that  $\bar{a}_i$  are  $d + 1$  affinely independent.

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Finally, let  $\bar{g}_i \in \mathbb{R}^{n-d-1}$  be the  $i$ th column of  $B$ . The sequence  $\bar{\mathbf{g}} = (\bar{g}_1, \dots, \bar{g}_n)$  is the **Gale transform** of  $\bar{\mathbf{a}}$ .



## Oriented matroid interpretation

**Theorem** Let  $E = \{e_1, \dots, e_n\}$  be a set of  $n$  points in  $\mathbb{R}^d$ , and suppose  $\bar{E} = \{\bar{e}_1, \dots, \bar{e}_n\}$  is a Gale transform of  $E$ . Then,  $\text{Aff}(E)^\perp = \text{Lin}(\bar{E})$ .

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**Problem 2** Determine the smallest number  $\lambda(d)$  such that any set  $X$  of  $\lambda$  points lying in general position in  $\mathbb{R}^d$  can be partitioned in two sets  $A, B$  such that  $\text{conv}(A \setminus x) \cap \text{conv}(B \setminus x) \neq \emptyset$  for all  $x \in X$ .

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**Remark** By using Gale transforms it can be proved that Problem 1 and Problem 2 are equivalent.

$$\lambda(d-1) = \min\{w : w \leq f(w-d-2)\}$$

$$f(d) = \max\{w : w \geq \lambda(w-d-2)\}$$

## Back to McMullen problem

**Problem 1** Determine the largest integer  $f(d)$  such that given any  $n$  points in general position in  $\mathbb{R}^d$  there is a permissible projective transformation mapping these points onto the vertices of a convex polytope.

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(Larman 1972)  $2d + 1 \leq f(d) \leq (d + 1)^2$ ,  $f(d) = 2d + 1$  for  $d = 2, 3$  and conjectured that  $f(d) = 2d + 1$  for any  $d \geq 2$ .

Theorem (Las Vergnas 1985)  $f(d) \leq d(d + 1)/2$  for any  $d \geq 2$ .

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**Topological version** Determine the largest integer  $g(d)$  such that given any uniform oriented matroid of rank  $r$  on  $n$  elements the corresponding arrangement of hyperplane has a **complete** cell.

**Remark** Conjecture can easily be checked when  $d = 2$  via the topological version.

Theorem (R.A. 2001)  $f(d) \leq 2d + \lceil \frac{d}{2} \rceil$  for any  $d \geq 2$ .

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By using oriented matroid version version and Lawrence oriented matroids.

## Lawrence oriented matroid

A **Lawrence oriented matroid**  $\mathcal{M}$  of rank  $r$  on the totally ordered set  $E = \{1, \dots, n\}$ ,  $r \leq n$ , is a uniform oriented matroid obtained as the union of  $r$  uniform oriented matroids  $\mathcal{M}_1, \dots, \mathcal{M}_r$  of rank 1 on  $(E, <)$ .

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The chirotope  $\chi$  corresponds to some Lawrence oriented matroid  $\mathcal{M}_A$  if and only if there exists a matrix  $A = (a_{i,j})$ ,  $1 \leq i \leq r$ ,  $1 \leq j \leq n$  with entries from  $\{+1, -1\}$  (where the  $i$ th row corresponds to the chirotope of the oriented matroid  $\mathcal{M}_i$ ) such that

$$\chi(B) = \prod_{i=1}^r a_{i,j_i}$$

where  $B$  is an ordered  $r$ -tuple  $j_1 \leq \dots \leq j_r$  elements of  $E$ .

## Remarks

- (i) The coefficients  $a_{i,j}$  with  $i > j$  or  $j - n > i - r$  do not play any role in the definition of  $\mathcal{M}_A$  (since they never appear in the chirotope). So, we may give them any arbitrary value from  $\{+1, -1\}$  or ignore them completely.
- (ii) An opposite chirotope  $-\chi$  is obtained by reversing the sign of all the coefficients of a line of  $A$ .
- (iii) The oriented matroid  $\bar{c}\mathcal{M}_A$  is obtained by reversing the sign of all the coefficients of a column  $c$  in  $A$ .

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	1	2	3	4	5	6	7
1	+	-	-	+	+	+	+
2	+	-	+	+	+	+	+
3	+	+	+	+	+	+	+
4	+	+	+	+	+	+	+



**Lemma** Let  $\mathcal{M}_A$  be a Lawrence oriented matroid and  $A$  the matrix associated  $A = (a_{i,j})$  with  $1 \leq i \leq r$ ,  $1 \leq j \leq n$  and entries from  $\{+1, -1\}$ . Then the following conditions are equivalent.

- (a)  $\mathcal{M}_A$  is cyclic,
- (b)  $TT$  ends at  $a_{r,s}$  for some  $1 \leq s < n$ ,
- (c)  $BT$  ends at  $a_{1,s'}$  for some  $1 < s' \leq n$ .

We say that  $TT$  and  $BT$  are **parallel** at column  $k$  with  $2 \leq k \leq n - 1$  in  $A$  if  $TT = (a_{1,1}, \dots, a_{i,k-1}, a_{i,k}, a_{i,k+1}, \dots)$  and either  $BT = (a_{r,n}, \dots, a_{i,k+1}, a_{i,k}, a_{i,k-1}, \dots)$  or  $BT = (a_{r,n}, \dots, a_{i+1,k+1}, a_{i+1,k}, a_{i+1,k-1}, \dots)$ ,  $1 \leq i \leq r$ .

**Lemma** Let  $\mathcal{M}_A$  be a Lawrence oriented matroid and  $A$  the matrix associated  $A = (a_{i,j})$  with  $1 \leq i \leq r$ ,  $1 \leq j \leq n$  and entries from  $\{+1, -1\}$ . Then  $k$  is an interior element of  $\mathcal{M}_A$  if and only if

- (a)  $BT = (a_{r,n}, \dots, a_{1,2}, a_{1,1})$  for  $k = 1$ ,
- (b)  $TT = (a_{1,1}, \dots, a_{r,n-1}, a_{r,n})$  for  $k = n$ ,
- (c)  $TT$  and  $BT$  are parallel at  $k$  for  $2 \leq k \leq n - 1$ .

## Example

	1	2	3	4	5	6	7
1	+	-	-	+	+	+	+
2	+	-	+	+	+	+	+
3	+	+	+	+	+	+	+
4	+	+	+	+	+	+	+

We notice that  $M_{A'}$  is acyclic and that 4, 5 and 6 are interior elements.

**Observation** There is a bijection between the set of all plain travels of  $A$  and the set of all acyclic reorientations of  $\mathcal{M}_A$  :

associate to  $P$  the set of elements of  $\mathcal{M}_A$  that should be reoriented to transform  $P$  to the Top Travel of the new matrix  $A^P = (a_{i,j}^P)$  (obtained by reversing the signs of all coefficients of the columns in  $A$  corresponding the reoriented elements).

## Generalizing McMullen problem

A  $d$ -polytope is  $k$ -neighbourly if for  $k \leq \lceil \frac{d}{2} \rceil$  fixed, every subset of at most  $k$  vertices of the vertex set of the polytope is a face of the polytope.

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Theorem (Garcia-Colin 2014) Let  $2 \leq k \leq \lceil \frac{d}{2} \rceil$  and  $v(d, k)$  be the largest integer such that any  $v(d, k)$  points in general position in  $\mathbb{R}^d$  can be mapped by a permissible projective transformation onto points onto the vertices of a  $k$ -neighbourly convex polytope. Then,  $d + \lceil \frac{d}{k} \rceil + 1 \leq v(d, k) < 2d - k + 1$ .

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**Proof of the upper bound (idea)** Find a realizable, acyclic oriented matroid such that one of their acyclic reorientations contains at least on circuit  $C$  with  $|C^+| \leq k$  (or  $|C^-| \leq k$ ). Such a matroid couldn't possibly have a realization which is a  $k$ -neighbourly polytope.



**Theorem (Garcia-Colin)** Let  $\lambda(d, k)$  be the smallest number such that for any set  $X$  of  $\lambda$  points lying in general position in  $\mathbb{R}^d$  there exists a partition of  $X$  into two sets  $A, B$  such that  $\text{conv}(A \setminus Y) \cap \text{conv}(B \setminus Y) \neq \emptyset$  for all  $2 \leq k \leq \lceil \frac{d}{2} \rceil$   $Y \subset X$ , with  $|Y| = k$ . Then,  $2d + k + 1 \leq \lambda(d, k) \leq (k + 1)d + (k + 2)$ .

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**Question** Determine the smallest  $\lambda(d, s, k)$  number such that for any set  $X$  of  $\lambda$  points lying in general position in  $\mathbb{R}^d$  there exists a partition of  $X$  into  $s$  sets  $A_1, \dots, A_s$  such that  $\bigcap_{i=1}^s \text{conv}(A_i \setminus Y) \neq \emptyset$  for all  $Y \subset X$ , with  $|Y| = k$ .